

# SEC Form ADV Part 2B Brochure Supplements

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Heather J. Spencer  
Michelle C. Stevens  
Courtney M. Weber



April 21, 2022

These brochure supplements provide information about supervised persons listed above that supplement the Truepoint Wealth Counsel, LLC ("Truepoint" or "Firm") brochure. You should have received a copy of that brochure. Explanations of the minimum qualifications required for professional designations held by supervised persons at Truepoint are provided at the end of each brochure supplement. The explanations are provided to assist you in understanding the value of each designation. Please contact James Hadaway, Chief Compliance Officer, at 513-792-6648 or [Compliance@truepointwealth.com](mailto:Compliance@truepointwealth.com) if you did not receive Truepoint's brochure or if you have any questions about the contents of this supplement.

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# SEC Form ADV Part 2B

Scott M. Barbee (b. 1971), CPA, CFP®, CAP®

## Educational Background:

B.S. in Accounting, University of Cincinnati, 1994

## Business Experience:

January 2014 – Present:

Sr. Wealth Advisor & Shareholder, Truepoint Wealth Counsel

June 2005 – January 2014:

Wealth Advisor & Principal, Truepoint Wealth Counsel

February 2001 - June 2005:

Investment Associate, FTB Group of UBS Financial Services

## Explanation of Professional Designations:

### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA. Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting. CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

### Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

### Chartered Advisor in Philanthropy (CAP®)

The Chartered Advisor in Philanthropy must be engaged in advising individuals or charitable organizations in wealth and estate planning, financial planning, charitable planning, charitable giving, planned giving, nonprofit or foundation management or services, investment management of charitable assets or accounting. To attain the designation, individuals must complete three graduate-level courses and final exam for each course. Every two years, CAP® holders must complete 15 hours of continuing education.

Disciplinary Information: None.

## Other Business Activities:

- Board, Clippard YMCA
- Board, YMCA Greater Cincinnati

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Kate L. Brownstein (b. 1987), CFP®

## Educational Background:

B.A. in Political Science and English, Ohio University, 2009

## Business Experience:

March 2016 - Present:

Financial Planning Specialist, Truepoint Wealth Counsel

October 2013 – March 2016:

Client Relationship Manager, Freeman Heyne

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

- Young Professional Board, University of Cincinnati Economics Center

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Christine L. Carleton (b. 1967), CFP®

**Educational Background:**

B.A. in Communications, University of Cincinnati, 1990

**Business Experience:**

February 2014 – Present:

Wealth Advisor & Principal, Truepoint Wealth Counsel

2000 – 2014:

Lead Advisor and Owner, The Asset Advisory Group, Inc.

**Explanation of Professional Designations:**

Certified Financial Planner (CFP®)

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Disciplinary Information: None.

**Other Business Activities:**

- Chair, Leukemia & Lymphoma Society Man and Woman of the Year Event

Additional Compensation: None.

**Supervision:**

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Janel E. Carroll (b. 1966), CPA, CFP®

## Educational Background:

B.B.A. in Accounting, University of Notre Dame, 1988

## Business Experience:

January 2018 – Present:

Sr. Wealth Advisor & Shareholder, Truepoint Wealth Counsel

February 1995 – January 2018:

Wealth Advisor & Principal, Truepoint Wealth Counsel

## Explanation of Professional Designations:

### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Board Member, Kenwood Country Club

Board Member, Easterseals Serving Greater Cincinnati

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Michael J. Chasnoff (b. 1959), CFP®

## Educational Background:

B.S. in Finance, University of Texas, 1982

## Business Experience:

August 1990 – Present:  
CEO & Founder, Truepoint Wealth Counsel

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Foundation Board Member, Summit Country Day School  
Board Member, Cincinnati Art Museum  
Board Member and Treasurer, Karen Wellington Foundation  
Foundation Board Member, Interact For Health

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Samuel A. Chasnoff (b. 1991), CFP®

## Educational Background:

B.A. in International Studies, Miami University, 2016

## Business Experience:

August 2016 – Present:

Financial Planning Associate, Truepoint Wealth Counsel

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Guy M. Clanton (b. 1975), CPA, CFP®, Certified Senior Advisor (CSA)®

## Educational Background:

B.B.A. in Accountancy and Finance, Miami University, 1997

## Business Experience:

December 2021 – Present:

Senior Financial Planner & Shareholder, Truepoint Wealth Counsel

May 2017 – December 2021:

Financial Planning Specialist, Truepoint Wealth Counsel

2004 – May 2017:

Senior Wealth Strategist, PNC Bank

## Explanation of Professional Designations:

### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA. Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting. CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

### Certified Financial Planner (CFP®)

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### Certified Senior Advisor (CSA)®

Issued by the Society of Certified Advisors, the holder has successfully completed a required exam and Roles, Rules, and Responsibilities Ethics Module. CSA® members must also obtain 30 continuing education credits every three years. In addition, CSA® candidates must complete a required questionnaire and background check and agree to abide by and uphold the CSA® Code of Professional Responsibility. Certified Senior Advisors (CSAs)® have supplemented their individual professional licenses, credentials, and education with knowledge about aging and working with older adults. It is recommended that you verify the validity of any professional's credentials with whom you conduct business. Be sure you completely understand what those licenses, credentials, and education signify. The (CSA)® certification alone does not imply expertise in financial, health, or social matters. For more details visit: [www.csa.us](http://www.csa.us).

Disciplinary Information: None.

Other Business Activities: None

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Steven T. Condon (b. 1975), CFA

## Educational Background:

B.A. in Business Administration, Thomas More College, 1997  
M.B.A. in Investment Management, The Ohio State University, 2003

## Business Experience:

August 2003 – Present:  
President & Principal, Truepoint Wealth Counsel

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Disciplinary Information: None.

## Other Business Activities:

Board, Cincinnati Youth Collaborative  
Finance Committee, Kenwood Country Club  
Board, Young President's Organization Cincinnati

Additional Compensation: None.

## Supervision:

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Nicholas W. Edwards (b. 1994), CFA

Educational Background:

B.S. in Business Administration, Ashland University, 2016

Business Experience:

August 2019 – Present:

Investment Associate, Truepoint Wealth Counsel

January 2019 – August 2019:

Associate Wealth Advisor, Total Wealth Planning

August 2016 – May 2018:

Corporate Finance, Prasco Pharmaceuticals

Explanation of Professional Designations:

Chartered Financial Analyst (CFA)

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Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

Supervision:

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# SEC Form ADV Part 2B

John S. Evans (b. 1969), CPA/PFS, CFP®

## Educational Background:

B.B.A. in Accounting, University of Notre Dame, 1992

## Business Experience:

June 2001 - Present:

Sr. Wealth Advisor & Shareholder, Truepoint Wealth Counsel

## Explanation of Professional Designations:

### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA. Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting. CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

### Certified Financial Planner (CFP®)

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### Personal Financial Specialist (PFS)

Issued by The American Institute of Certified Public Accountants (AICPA), the Personal Financial Specialist must be a member of AICPA, hold an unrevoked CPA certificate from a state authority, and have at least two full years of full-time teaching or business experience (or 3000 hours equivalent) in personal financial planning within the five year period preceding the date of the PFS application. In addition, PFS holders must complete a minimum of 75 hours of personal financial planning education within the five year period preceding the date of the PFS application and complete a final certification exam. Every three years, PFS holders must complete 60 hours of continuing education.

Disciplinary Information: None.

## Other Business Activities:

Board Member and Treasurer, Family Montessori of Lebanon

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Conor C. Feldmann (b. 1992), CFA

## Educational Background:

B.S. in Business Administration, University of Dayton, 2015

## Business Experience:

December 2016 – Present:

Portfolio Manager, Truepoint Wealth Counsel

November 2015 - November 2016:

Senior Analyst, Cardinal Health

June 2015 - November 2015:

Accountant, Cardinal Health

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Disciplinary Information: None.

## Other Business Activities:

Investment Committee, St. Joseph Home

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Brad D. Felix (b. 1987), CFA

## Educational Background:

B.S. in Finance, Miami University, 2009

## Business Experience:

March 2016 – Present:

Director of Innovation & Shareholder, Truepoint Wealth Counsel

September 2015 – Present:

CEO/CCO/Portfolio Manager, Commas

July 2015 - February 2016:

Temporary Financial Analyst, Axxess Financial

February 2015 - June 2015:

Sr. Portfolio Risk Analyst, Security National Automotive Acceptance Company

November 2014 - February 2015:

Unemployed

July 2009 - November 2014:

Portfolio Manager & Research Analyst, Opus Capital Management

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

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Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Dejah N. Gay (b. 1992), CFP®

## Educational Background:

B.A. in Economics, Spelman College, 2014  
M.S. in Finance, University of Cincinnati, 2016

## Business Experience:

December 2021 – Present:  
Financial Planner, Truepoint Wealth Counsel  
January 2018 – December 2021:  
Financial Planning Associate, Truepoint Wealth Counsel  
August 2016 – January 2018:  
Client Services Associate, Truepoint Wealth Counsel

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Nathan R. Johnson (b. 1986), CFP®

## Educational Background:

B.A. in Political Science, The Ohio State University, 2007  
M.B.A., Capital University, 2011

## Business Experience:

December 2021 – Present:  
Director of Financial Planning & Shareholder, Truepoint Wealth Counsel  
August 2014 – December 2021:  
Financial Planning Specialist, Truepoint Wealth Counsel  
January 2012 - July 2014:  
Relationship Manager, Commerce National Bank  
May 2010 - December 2011:  
Credit Analyst, Commerce National Bank  
November 2008 - April 2010  
Business Banking Representative, Commerce National Bank

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.



# SEC Form ADV Part 2B

Scott M. Keller (b. 1976), CFA, CAIA

## Educational Background:

B.S. in Business, Montana State University, 2003

## Business Experience:

January 2007 - Present:

Investment Specialist & Principal, Truepoint Wealth Counsel

January 2005 - January 2007:

Consultant, Greer Black Company

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### Chartered Alternative Investment Analyst (CAIA)

The Chartered Financial Analyst charter is a The CAIA Program is designed to facilitate self-directed learning for today's busy professionals through a comprehensive set of readings on risk-return attributes of institutional quality alternative assets. Candidates' knowledge is assessed through exams, administered globally at computerized testing centers.

The program is organized into two levels of study; the Level I and Level II exams are offered twice each year, in March and September, giving candidates the opportunity to earn the CAIA Charter within a single year. CAIA candidates must pass both Level I and Level II of the CAIA exam to qualify for the CAIA Charter.

Once a qualified candidate completes the CAIA Program, he or she is eligible for CAIA membership.

Professional experience includes full-time employment in a professional capacity within the bank regulatory, banking, financial, or related fields. CAIA Charter Holders must have over one year of professional experience and a U.S. bachelor's degree (or equivalent) or four years of professional experience.

Disciplinary Information: None.

## Other Business Activities:

Treasurer, Great Parks Foundation

Past-President, CFA Society Cincinnati

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Ryan J. Klekar (b. 1977), CFP®, CTFA

## Educational Background:

B.B.A. in Finance, Ohio University, 1999

## Business Experience:

May 2011 - Present:

Wealth Advisor & Principal, Truepoint Wealth Counsel

January 2005 - April 2011:

Financial Advisor, Ken Byers and Associates

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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### Certified Trust and Financial Advisor (CTFA)

The CTFA designation is awarded by the American Bankers Association to those who apply for the designation and who meet the following requirements: (1) a minimum of three (3) years’ experience in wealth management, defined as direct experience in the various facets of delivering financial planning and fiduciary services relating to trusts, estates, IRAs and individual asset management accounts, and/or providing administrative, investment management, tax, legal and marketing services.; (2) completion of an ICB-approved wealth management training programs; (3) a professional reference evidencing ethical character; and (4) adherence to the ICB’s Professional Code of Ethics statement. The CTFA is maintained by ongoing adherence to ICB’s Professional Code of Ethics and completion of 45 credits of continuing education every three years.

Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Joshua D. Kommer (b. 1979), CFA, CAIA

## Educational Background:

A.B. in Economics, Duke University, 2002

## Business Experience:

February 2022 – Present:

Portfolio Manager, Truepoint Wealth Counsel

August 2017 – February 2022:

Commodity Trader, Self-employed

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### Chartered Alternative Investment Analyst (CAIA)

The Chartered Alternative Investment Analyst charter is a The CAIA Program is designed to facilitate self-directed learning for today's busy professionals through a comprehensive set of readings on risk-return attributes of institutional quality alternative assets. Candidates' knowledge is assessed through exams, administered globally at computerized testing centers.

The program is organized into two levels of study; the Level I and Level II exams are offered twice each year, in March and September, giving candidates the opportunity to earn the CAIA Charter within a single year. CAIA candidates must pass both Level I and Level II of the CAIA exam to qualify for the CAIA Charter.

Once a qualified candidate completes the CAIA Program, he or she is eligible for CAIA membership.

Professional experience includes full-time employment in a professional capacity within the bank regulatory, banking, financial, or related fields. CAIA Charter Holders must have over one year of professional experience and a U.S. bachelor's degree (or equivalent) or four years of professional experience.

Disciplinary Information: None.

## Other Business Activities:

Executive Board, Indian Hill Foundation

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Wayne A. Lippert, Jr. (b. 1971), CFP®

## Educational Background:

B.A. in English, Albion College, 1993

M.B.A. in Finance, Kogod School of Business at The American University, 1999

## Business Experience:

August 2006 – Present:

Director of Client Growth & Shareholder, Truepoint Wealth Counsel

May 2004 - August 2006:

Investment Manager, Corporex Companies, LLC

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Chairman, Hamilton County Hospital Commission

Trustee, Emnorhos Foundation

Member, The Summit Country Day School Board of Trustees

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

Adam S. Lipton (b. 1983), CFP®

**Educational Background:**

B.S. in Finance, Ohio University, 2010

**Business Experience:**

June 2015 – Present:

Wealth Advisor, Truepoint Wealth Counsel

October 2010 – June 2015:

Relationship Manager, PNC Bank

**Explanation of Professional Designations:**

Certified Financial Planner (CFP®)

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Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

**Supervision:**

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# SEC Form ADV Part 2B

Nathaniel L. Maxson (b. 1978), CFP®, CIMA®, CPWA®

## Educational Background:

B.A. in History, University of Dayton, 2001

## Business Experience:

February 2022 – Present:

Financial Planner, Truepoint Wealth Counsel

August 2019 – December 2021

Wealth Planning Associate Advisor, U.S. Bank Private Wealth Management

January 2018 – August 2019

Wealth Planning Analyst, U.S. Bank Private Wealth Management

October 2012 – September 2016

Product Specialist, Fort Washington Investment Advisors

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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### Certified Investment Management Analyst® (CIMA®)

The CIMA certification is focused on investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA certification, candidates must pass a proctored Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, pass an online Certification Examination, and have an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements. CIMA designees are required to adhere to IWA’s Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investments and Wealth Institute (IWI).

### Certified Private Wealth Advisor®, CPWA®

The CPWA® designation is centered on private wealth management topics and strategies for high-net-worth clients. Prerequisites for the CPWA® designation are a Bachelor’s degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC®, or CPA license; have an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements and five years of professional client centered experience in financial services or a related industry. CPWA® designees have completed self-study requirements, an in-class education component, and successful completion of a comprehensive examination. CPWA® designees are required to adhere to the institute’s Code of Professional Responsibility and Rules and Guidelines for Use of the Marks and must report 40 hours of continuing education credits, including two ethics hours, every 2 years to maintain the certification. The designation is administered through Investments & Wealth Institute (“IWI”).

Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Christopher M. Meyer (b. 1967), CFA

## Educational Background:

B.S. in Statistics and Economics, The University of Akron, 1989  
M.B.A. in Finance, The Ohio State University, 1993

## Business Experience:

June 2015 – Present:  
Director of Institutional Investing & Shareholder, Truepoint Institutional Advisors  
June 1993 - May 2015:  
Managing Principal & Chief Investment Officer, Fund Evaluation Group, LLC  
August 1989 - September 1991:  
Trust Associate, Fifth Third Bank

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Disciplinary Information: None.

## Other Business Activities:

Board of Directors, Lambda Chi Alpha Fraternity  
Finance Council, St. Antoninus Church  
Board of Trustees and Treasurer, Catholic Inner-City Schools Education (CISE) Foundation  
Investment Advisory Committee, Glenmary Home Missioners  
Investment Sub-Committee, Mount St. Joseph University

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Elizabeth B. Niehaus (b. 1964), CFP®

## Educational Background:

B.S. in Finance, Miami University, 1986

## Business Experience:

November 2007 – Present:

Wealth Advisor & Principal, Truepoint Wealth Counsel

March 2002 - November 2007:

Account Executive, Johnson Mortgage Services, Inc.

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Member Board of Trustees, Catholic Inner-City Scholastic Education

Member, Daughters of American Revolution, Mariemont Chapter

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Lauren M. Niestradt (b. 1985), CFA, CFP®

## Educational Background:

B.S. in Business Administration, The Ohio State University, 2008

## Business Experience:

January 2018 – Present:

Investment Specialist, Truepoint Wealth Counsel

2016 - 2018:

Wealth Advisor and Portfolio Manager, RiverPoint Capital Management

20014 - 2015:

Investment Advisor II, PNC Bank

2008 - 2013:

Portfolio Manager, Fifth Third

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

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### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Board Member, Cincinnati Observatory Foundation

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Alexandra H. Ollinger (b. 1975), CFP®

## Educational Background:

B.A. in Art History, University of the South, 1997  
M.B.A., Dartmouth College, 2004

## Business Experience:

November 2004 – Present:  
Wealth Advisor & Principal, Truepoint Wealth Counsel

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Board Member, Cincinnati Ballet

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

W. Ted Parchman (b. 1988), CFA

## Educational Background:

B.S. in Finance, Miami University, 2010

## Business Experience:

April 2011 – Present:

Investment Specialist, Truepoint Wealth Counsel

June 2010 – March 2011:

Pricing Analyst, Progressive Insurance

## Explanation of Professional Designations:

### Chartered Financial Analyst (CFA)

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Disciplinary Information: None.

## Other Business Activities:

Secretary, CFA Society of Cincinnati

Finance Committee Member, Glad House

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Blake M. Price (b. 1990), CFP®

## Educational Background:

B.S. in Finance, Miami University, 2013

## Business Experience:

April 2015 – Present:

Wealth Advisor, Truepoint Wealth Counsel

May 2013 - March 2015:

Financial Representative, Fidelity Investments.

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals.

Disciplinary Information: None.

Other Business Activities: None

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Deanna V. Sicking (b. 1977), CFP®

## Educational Background:

B.S. in Economics, Miami University, 1999  
M.B.A. in Finance, Xavier University, 2007

## Business Experience:

January 2022 – Present:

Sr. Wealth Advisor & Shareholder, Truepoint Wealth Counsel

October 2016 – December 2021:

Wealth Advisor, Truepoint Wealth Counsel

2003 – 2016:

Sr. Wealth Strategy Associate, UBS Financial Services, Inc.

2001 – 2003:

Business Analyst, McDonald Investments, Inc.

1999 – 2001:

Corporate Finance Associate, Key Bank N.A.

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

Disciplinary Information: None.

## Other Business Activities:

Board of Directors and Treasurer, Dress for Success  
Finance Committee Chair, Dress for Success

Additional Compensation: None.

## Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

# SEC Form ADV Part 2B

Heather J. Spencer (b. 1980), CPA, CFP®

## Educational Background:

B.S. in Business, Wright State University, 2002  
M.B.A., University of Dayton, 2010

## Business Experience:

January 2008 - Present:  
Wealth Advisor & Principal, Truepoint Wealth Counsel  
August 2001 - January 2008:  
Manager, Financial Planning, Buckingham Financial Group

## Explanation of Professional Designations:

### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically, the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Finance Committee, Impact 100 Cincinnati

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Michelle C. Stevens (b. 1985) CFP®

## Educational Background:

B.B.A in Marketing, Ohio University, 2008

## Business Experience:

April 2021 – Present:

Financial Planning Specialist, Truepoint Wealth Counsel

August 2019 – April 2021:

Wealth Planning Associate Advisor, U.S. Bank Private Wealth Management

September 2017 – August 2019:

Wealth Planning Analyst, U.S. Bank Private Wealth Management

May 2014 – September 2017:

Private Wealth Associate, U.S. Bank Private Wealth Management

## Explanation of Professional Designations:

### Certified Financial Planner (CFP®)

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Disciplinary Information: None.

## Other Business Activities:

Board Member, Cincinnati Art Museum Catalysts

Additional Compensation: None.

## Supervision:

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# SEC Form ADV Part 2B

Courtney M. Weber (b. 1981), CPA, CFP®

## Educational Background:

B.B.A. in Accountancy, University of Notre Dame, 2003  
M.S. in Accountancy, University of Notre Dame, 2004

## Business Experience:

January 2021 – Present:  
Director of Client Relations & Shareholder, Truepoint Wealth Counsel  
October 2006 – December 2020:  
Wealth Advisor & Principal, Truepoint Wealth Counsel  
August 2004 - September 2006:  
Associate, PricewaterhouseCoopers, LLP

## Explanation of Professional Designations:

### Certified Public Accountant (CPA)

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CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

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Disciplinary Information: None.

Other Business Activities: None.

Additional Compensation: None.

## Supervision:

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