

# SEC Form ADV Part 2B

## Brochure Supplements

Scott M. Barbee	Wayne A. Lippert, Jr.
Kate L. Brownstein	Adam S. Lipton
Christine L. Carleton	Christopher M. Meyer
Janel E. Carroll	Elizabeth B. Niehaus
Michael J. Chasnoff	Lauren M. Niestradt
Guy M. Clanton	Alexandra H. Ollinger
Steven T. Condon	W. Ted Parchman
John S. Evans	Blake M. Price
Conor C. Feldmann	Eric S. Ross
Brad D. Felix	Deanna V. Sicking
Nathan R. Johnson	Heather J. Spencer
Scott M. Keller	Courtney M. Weber
Ryan J. Klekar	



March 30, 2020

These brochure supplements provide information about supervised persons listed above that supplement the Truepoint Wealth Counsel, LLC ("Truepoint" or "Firm") brochure. You should have received a copy of that brochure.

Explanations of the minimum qualifications required for professional designations held by supervised persons at Truepoint are provided at the end of each brochure supplement. The explanations are provided to assist you in understanding the value of each designation.

Please contact James Hadaway, Chief Compliance Officer, at 513-792-6648 or [Compliance@truepointwealth.com](mailto:Compliance@truepointwealth.com) if you did not receive Truepoint's brochure or if you have any questions about the contents of this supplement.

Truepoint Wealth Counsel, LLC  
9999 Carver Road, Suite 200  
Cincinnati, OH 45242  
(P) 513-792-6648  
(F) 513-792-6644  
[truepointwealth.com](http://truepointwealth.com)

## SEC Form ADV Part 2B

Scott M. Barbee (b. 1971), CPA, CFP®

### **Educational Background:**

B.S. in Accounting, University of Cincinnati, 1994

### **Business Experience:**

June 2005 - Present:

Wealth Advisor & Principal, Truepoint Wealth Counsel

February 2001 - June 2005:

Investment Associate, FTB Group of UBS Financial Services

### **Explanation of Professional Designations:**

#### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional

certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### **Other Business Activities:**

- Chair, Clippard YMCA
- Board, YMCA Greater Cincinnati
- Treasurer, Safe Haven Farms
- Financial Advisory Committee, Colerain Township

**Additional Compensation:** None.

### **Supervision:**

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Kate L. Brownstein (b. 1987), CFP®

### Educational Background:

B.A. in Political Science and English, Ohio University, 2009

### Business Experience:

March 2016 - Present:  
Financial Planning Specialist, Truepoint Wealth Counsel  
October 2013 - March 2016:  
Client Relationship Manager, Freeman Heyne

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Young Professional Board, University of Cincinnati Economics Center

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Christine L. Carleton (b. 1967), CFP®

### Educational Background:

B.A. in Communications, University of Cincinnati,  
1990

### Business Experience:

February 2014 – Present:  
Wealth Advisor & Principal, Truepoint  
Wealth Counsel  
2000 – 2014:  
Lead Advisor and Owner, The Asset  
Advisory Group, Inc.

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Member, Ronald McDonald House Planned Giving Council

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Janel E. Carroll (b. 1966), CPA, CFP®

### Educational Background:

B.B.A. in Accounting, University of Notre Dame, 1988

### Business Experience:

February 1995 - Present:  
Wealth Advisor & Principal, Truepoint Wealth Counsel

### Explanation of Professional Designations:

#### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by

Certified Financial Planner Board of Standards, Inc. ("CFP Board"). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Board, ArtWorks
- Committee Member, Kenwood Country Club

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Michael J. Chasnoff (b. 1959), CFP®

### Educational Background:

B.S. in Finance, University of Texas, 1982

### Business Experience:

August 1990 – Present:  
CEO & Founder, Truepoint Wealth Counsel

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Foundation Board Member, Summit Country Day School
- Board Member, Cincinnati Art Museum
- Board Member and Treasurer, Karen Wellington Foundation
- Foundation Board Member, Interact For

Health

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.



## SEC Form ADV Part 2B

Guy M. Clanton (b. 1975), CPA, CFP®

### Educational Background:

B.B.A. in Accountancy and Finance, Miami University, 1997

### Business Experience:

May 2017 – Present:

Financial Planning Specialist, Truepoint Wealth Counsel

2004 – May 2017:

Senior Wealth Strategist, PNC Bank

### Explanation of Professional Designations:

#### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional

certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Advisory Committee, St. Gertrude School

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Steven T. Condon (b. 1975), CFA

### Educational Background:

- B.A. in Business Administration, Thomas More College, 1997
- M.B.A. in Investment Management, The Ohio State University, 2003

### Business Experience:

August 2003 – Present:  
President & Principal, Truepoint Wealth Counsel

the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

### Explanation of Professional Designations:

#### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Treasurer, Cincinnati Youth Collaborative
- Finance Committee, Kenwood Country Club

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include



## SEC Form ADV Part 2B

John S. Evans (b. 1969), CPA/PFS, CFP®

### Educational Background:

B.B.A. in Accounting, University of Notre Dame, 1992

### Business Experience:

July 2001 - Present:  
Wealth Advisor & Principal, Truepoint Wealth Counsel

### Explanation of Professional Designations:

#### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc.

("CFP Board"). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Board Member and Treasurer, Family Montessori of Lebanon

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Conor C. Feldmann (b. 1992), CFA

### Educational Background:

B.S. in Business Administration, University of Dayton, 2015

### Business Experience:

December 2016 – Present:  
Investment Associate, Truepoint Wealth Counsel  
November 2015 – November 2016:  
Senior Analyst, Cardinal Health  
June 2015 – November 2015:  
Accountant, Cardinal Health

the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

### Explanation of Professional Designations:

#### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Tutor and Mentor, Cincinnati Youth Collaborative

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is

## SEC Form ADV Part 2B

Brad D. Felix (b. 1987), CFA

### Educational Background:

B.S. in Finance, Miami University, 2009

### Business Experience:

March 2016 - Present:

Investment Specialist, Truepoint Wealth Counsel

September 2015 - Present:

CEO/CCO/Portfolio Manager, RhineVest Advisors, LLC

July 2015 - February 2016:

Temporary Financial Analyst, Axxess Financial

February 2015 - June 2015:

Sr. Portfolio Risk Analyst, Security National Automotive Acceptance Company

November 2014 - February 2015:

Unemployed

July 2009 - November 2014:

Portfolio Manager & Research Analyst, Opus Capital Management

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

### Explanation of Professional Designations:

#### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

**Disciplinary Information:** None.

**Other Business Activities:** None.

**Additional Compensation:** None.

## SEC Form ADV Part 2B

Nathan R. Johnson (b. 1986), CFP®

### Educational Background:

- B.A. in Political Science, The Ohio State University, 2007
- M.B.A., Capital University, 2011

### Business Experience:

August 2014 - Present:  
Financial Planning Specialist, Truepoint Wealth Counsel  
January 2012 - July 2014:  
Relationship Manager, Commerce National Bank  
May 2010 - December 2011:  
Credit Analyst, Commerce National Bank  
November 2008 - April 2010  
Business Banking Representative,  
Commerce National Bank

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound

by the Standard of Professional Conduct.

**Disciplinary Information:** None.

**Other Business Activities:** None.

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Scott M. Keller (b. 1976), CFA, CAIA

### Educational Background:

B.S. in Business, Montana State University,  
2003

### Business Experience:

January 2007 - Present:  
Investment Specialist & Principal, Truepoint  
Wealth Counsel  
January 2005 - January 2007:  
Consultant, Greer Black Company

### Explanation of Professional Designations:

#### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

#### Chartered Alternative Investment Analyst (CAIA)

The Chartered Financial Analyst charter is a The CAIA Program is designed to facilitate self-directed learning for today's busy professionals through a comprehensive set of readings on risk-return attributes of institutional quality alternative assets. Candidates' knowledge is assessed through exams, administered globally at computerized testing centers.

The program is organized into two levels of study; the Level I and Level II exams are offered twice each year, in March and September, giving candidates the opportunity to earn the CAIA Charter within a single year. CAIA

candidates must pass both Level I and Level II of the CAIA exam to qualify for the CAIA Charter.

Once a qualified candidate completes the CAIA Program, he or she is eligible for CAIA membership.

Professional experience includes full-time employment in a professional capacity within the bank regulatory, banking, financial, or related fields. CAIA Charter Holders must have over one year of professional experience and a U.S. bachelor's degree (or equivalent) or four years of professional experience.

**Disciplinary Information:** None.

### Other Business Activities:

- Treasurer, Great Parks Foundation
- Vice President, CFA Society Cincinnati

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Ryan J. Klekar (b. 1977), CFP®, CTFA

### Educational Background:

B.B.A. in Finance, Ohio University, 1999

### Business Experience:

May 2011 - Present:

Wealth Advisor & Principal, Truepoint  
Wealth Counsel

January 2005 - April 2011:

Financial Advisor, Ken Byers and Associates

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

#### Certified Trust and Financial Advisor (CTFA)

The CTFA designation is awarded by the American Bankers Association to those who apply for the designation and who meet the following requirements: (1) a minimum

of three (3) years’ experience in wealth management, defined as direct experience in the various facets of delivering financial planning and fiduciary services relating to trusts, estates, IRAs and individual asset management accounts, and/or providing administrative, investment management, tax, legal and marketing services.; (2) completion of an ICB-approved wealth management training programs; (3) a professional reference evidencing ethical character; and (4) adherence to the ICB’s Professional Code of Ethics statement. The CTFA is maintained by ongoing adherence to ICB’s Professional Code of Ethics and completion of 45 credits of continuing education every three years.

**Disciplinary Information:** None.

#### **Other Business Activities:**

- Member Board of Directors, JDRF
- Mentor/Tutor, Cincinnati Youth Collaborative

**Additional Compensation:** None.

#### **Supervision:**

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.



## SEC Form ADV Part 2B

Wayne A. Lippert, Jr. (b. 1971), CFP®

### Educational Background:

- B.A. in English, Albion College, 1993
- M.B.A. in Finance, The American University, 2004

### Business Experience:

August 2006 - Present:  
Wealth Advisor & Principal, Truepoint  
Wealth Counsel  
May 2004 - August 2006:  
Investment Manager, Corporex Companies,  
LLC

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Chairman, Hamilton County Hospital Commission
- Trustee, Emnorhos Foundation
- Business Affairs Committee Member, Summit Country Day School

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Adam S. Lipton (b. 1983), CFP®

### **Educational Background:**

B.S. in Finance, Ohio University, 2010

### **Business Experience:**

June 2015 – Present:

Wealth Advisor, Truepoint Wealth Counsel

October 2010 – June 2015:

Relationship Manager, PNC Bank

### **Explanation of Professional Designations:**

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

**Other Business Activities:** None.

**Additional Compensation:** None.

### **Supervision:**

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Christopher M. Meyer (b. 1967), CFA

### Educational Background:

- B.S. in Statistics and Economics, The University of Akron, 1989
- M.B.A. in Finance, The Ohio State University, 1993

### Business Experience:

June 2015 – Present:  
Managing Principal, Truepoint Institutional Advisors

June 1993 – May 2015:  
Managing Principal, CIO, Fund Evaluation Group, LLC

August 1989 – September 1991:  
Trust Associate, Fifth Third Bank

### Explanation of Professional Designations:

#### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### Disciplinary Information:

 None.

### Other Business Activities:

- Board of Trustees, Cincinnati Retirement System
- Board Member Lambda Chi Alpha Educational Foundation
- Investment Committee, Mercy Health
- Finance Council, St. Antoninus Church
- Finance Committee, Catholic Inner-City Schools Education (CISE) Fund

### Additional Compensation:

 None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Elizabeth B. Niehaus (b. 1964), CFP®

### Educational Background:

B.S. in Finance, Miami University, 1986

### Business Experience:

November 2007 – Present:

Wealth Advisor & Principal, Truepoint  
Wealth Counsel

March 2002 – November 2007:

Account Executive, Johnson Mortgage  
Services, Inc.

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Member Board of Trustees, Saint Ursula Academy

- Treasurer, Daughters of American Revolution, Mariemont Chapter

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Lauren M. Niestradt (b. 1985), CFA, CFP®

### Educational Background:

B.S. in Business Administration, The Ohio State University, 2008

### Business Experience:

January 2018 – Present:

Investment Specialist, Truepoint Wealth Counsel

2016 – 2018:

Wealth Advisor and Portfolio Manager, RiverPoint Capital Management

2004 – 2015:

Investment Advisor II, PNC Bank

2008 – 2013:

Portfolio Manager, Fifth Third

### Explanation of Professional Designations:

#### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

#### Certified Financial Planner (CFP)

Certified Financial Planner (CFP) designation is conferred by the Certified Financial Planner Board of Standards, Inc. (CFP Board) in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university;

2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals.

**Disciplinary Information:** None.

### Other Business Activities:

- Board Member, Cincinnati Boychoir

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Alexandra H. Ollinger (b. 1975), CFP®

### Educational Background:

- B.A. in Art History, University of the South, 1997
- M.B.A., Dartmouth College, 2004

### Business Experience:

July 2004 – Present:  
Wealth Advisor & Principal, Truepoint  
Wealth Counsel

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Finance Committee Vice Chair and Board Member, Cincinnati Ballet

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.



## SEC Form ADV Part 2B

W. Ted Parchman (b. 1988), CFA

### **Educational Background:**

B.S. in Finance, Miami University, 2010

### **Business Experience:**

April 2011 – Present:

Investment Specialist, Truepoint Wealth Counsel

June 2010 – March 2011:

Pricing Analyst, Progressive Insurance

prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

### **Explanation of Professional Designations:**

#### Chartered Financial Analyst (CFA)

The Chartered Financial Analyst charter is a globally-respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. There are currently more than 90,000 holders of the CFA charter working in 134 countries. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

**Disciplinary Information:** None.

**Other Business Activities:** None.

**Additional Compensation:** None.

### **Supervision:**

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and

## SEC Form ADV Part 2B

Blake M. Price (b. 1990), CFP®

### **Educational Background:**

B.S. in Finance, Miami University, 2013

### **Business Experience:**

April 2015 – Present:

Wealth Advisor, Truepoint Wealth Counsel

May 2013 – March 2015:

Financial Representative, Fidelity Investments.

non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

### **Explanation of Professional Designations:**

#### Certified Financial Planner (CFP)

Certified Financial Planner (CFP) designation is conferred by the Certified Financial Planner Board of Standards, Inc. (CFP Board) in the United States. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals.

**Disciplinary Information:** None.

**Other Business Activities:** None

**Additional Compensation:** None.

### **Supervision:**

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material

## SEC Form ADV Part 2B

Eric S. Ross (b. 1969), CFP®

### Educational Background:

B.S.B.A. in Business Administration, The Ohio State University, 1993

### Business Experience:

October 2013 – Present:  
Financial Planning Specialist & Principal,  
Truepoint Wealth Counsel  
2008 - October 2013:  
Managing Principal, Sequoia Wealth  
Counsel  
2004 - 2008:  
Financial Advisor, UBS Financial Services

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

**Other Business Activities:** None.

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Deanna V. Sicking (b. 1977), CFP®

### Educational Background:

- B.S. in Economics, Miami University, 1999
- M.B.A. in Finance, Xavier University, 2007

### Business Experience:

October 2016 – Present:

Wealth Advisor, Truepoint Wealth Counsel

2003 – 2016:

Sr. Wealth Strategy Associate, UBS Financial Services, Inc.

2001 – 2003:

Business Analyst, McDonald Investments, Inc.

1999 – 2001:

Corporate Finance Associate, Key Bank N.A.

### Explanation of Professional Designations:

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor’s Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board’s Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

### Other Business Activities:

- Board of Directors and Treasurer, Dress for Success
- Finance Committee Chair, Dress for Success

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees’ advisory activities and advice provided to our clients. James Hadaway is the firm’s Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm’s policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Heather J. Spencer (b. 1980), CPA, CFP®

### Educational Background:

- B.S. in Business, Wright State University, 2002
- M.B.A., University of Dayton, 2010

### Business Experience:

January 2008 - Present:

Wealth Advisor & Principal, Truepoint Wealth Counsel

August 2001 - January 2008:

Manager, Financial Planning, Buckingham Financial Group

### Explanation of Professional Designations:

#### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

**Other Business Activities:** None.

**Additional Compensation:** None.

### Supervision:

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.

## SEC Form ADV Part 2B

Courtney M. Weber (b. 1981), CPA, CFP®

### Educational Background:

- B.B.A. in Accountancy, University of Notre Dame, 2003
- M.S. in Accountancy, University of Notre Dame, 2004

### Business Experience:

October 2006 - Present:

Wealth Advisor & Principal, Truepoint Wealth Counsel

August 2004 - September 2006:

Associate, PricewaterhouseCoopers, LLP

### Explanation of Professional Designations:

#### Certified Public Accountant (CPA)

In order to become a licensed CPA in the United States, the candidate must sit for and pass the Uniform Certified Public Accountant Examination, which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy and have two years of experience properly supervised by a licensed CPA.

Eligibility to sit for the Uniform CPA Exam is determined by individual State Boards of Accountancy. Typically the requirement is a U.S. bachelor's degree which includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license (i.e., permit to practice) to practice public accounting.

CPAs are required to take continuing education courses in order to renew their license. Requirements vary by state. The vast majority of states require 120 hours of CPE every 3 years with a minimum of 20 hours per calendar year. The requirement can be fulfilled through attending live seminars, webcast seminars, or through self-study (textbooks, videos, online courses, all of which require a test to receive credit).

#### Certified Financial Planner (CFP)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: 1) complete an advanced college-level course of study addressing financial planning subject areas and attain a Bachelor's Degree from a regionally accredited United States college or university; 2) pass the CFP® Certification Examination; 3) have at least three years of full-time financial planning-related experience; and 4) agree to be bound by CFP Board's Standards of Professional Conduct, outlining the ethical and practice standards for CFP® professionals. Individuals must complete 30 hours of continuing education every two years, including two hours on the Code of Ethics, to maintain competence and keep up with developments in the field. Individuals must also renew an agreement to be bound by the Standard of Professional Conduct.

**Disciplinary Information:** None.

**Other Business Activities:** None.

**Additional Compensation:** None.

#### **Supervision:**

As President & Principal, Steve Condon is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. James Hadaway is the firm's Chief Compliance Officer. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading and prohibitions against trading based on material non-public information. Steve and James regularly monitor these and other elements of the firm's policies and procedures to assure compliance. For additional information, you may contact Truepoint at 513-792-6648.